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Roxas and Company, Inc. RCI

PSE Disclosure Form 17-12 - List of Top 100 Stockholders *Reference: Section 17.12 of the Revised Disclosure Rules*

Type of Securities

Common	
Preferred	-
Others	-

For the period ended	Dec 31, 2016
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Description of the Disclosure

Roxas and Company Inc.'s Top 100 Stockholders for the period ended 31 December 2016.

Filed on behalf by:

Name	Florencio Jr. Cloma
Designation	Paralegal

LIST OF TOP 100 STOCKHOLDERS
As Of December 31, 2016

STOCKHOLDER'S NAME	OUTSTANDING & ISSUED SHARES (FULLY PAID)	OUTSTANDING & ISSUED SHARES (PARTIALLY PAID)	TOTAL HOLDINGS (SUBSCRIBED)	PERCENTAGE TO TOTAL	NATIONALITY
PCD NOMINEE CORPORATION (FILIPINO)	1,139,975,070	0	1,139,975,070	39.149	FILIPINO
SPCI HOLDINGS, INC.	642,779,593	0	642,779,593	22.074	FILIPINO
PESAN HOLDINGS, INC.	326,921,001	0	326,921,001	11.227	FILIPINO
PCD NOMINEE CORPORATION (NON-FILIPINO)	258,228,532	0	258,228,532	8.868	OTHER ALIEN
CISCO HOLDINGS INC.	112,500,000	0	112,500,000	3.863	FILIPINO
CRE HOLDINGS INC.	112,500,000	0	112,500,000	3.863	FILIPINO
INIGO HOLDINGS INC.	112,500,000	0	112,500,000	3.863	FILIPINO
SRE HOLDINGS INC.	112,500,000	0	112,500,000	3.863	FILIPINO
ANTONIO J. ROXAS	50,000,000	0	50,000,000	1.717	FILIPINO
PESAN HOLDINGS, INC.	13,606,519	0	13,606,519	0.467	FILIPINO
RIZAL COMMERCIAL BANKING CORPORATION	3,048,161	0	3,048,161	0.105	FILIPINO
ANTONIO ROXAS CHUA	2,379,610	0	2,379,610	0.082	FILIPINO
MARICARMEN R. DE ELIZALDE	1,361,241	0	1,361,241	0.047	FILIPINO
SANTIAGO R. ELIZALDE	1,210,930	0	1,210,930	0.042	FILIPINO
CARLOS ANTONIO R. DE ELIZALDE	1,200,320	0	1,200,320	0.041	FILIPINO
FRANCISCO JOSE DE ELIZALDE, JR.	1,200,320	0	1,200,320	0.041	FILIPINO
CENTRAL AZUCARERA DELA CARLOTA RETIREMENTTRUST FUND	1,178,400	0	1,178,400	0.040	FILIPINO
PEDRO E. ROXAS	937,892	0	937,892	0.032	FILIPINO
EQUITABLE SEC. FAO INIGO R. DE ELIZALDE	933,810	0	933,810	0.032	FILIPINO
SEVERO A. TUASON & CO., INC.	537,000	0	537,000	0.018	FILIPINO
DOLORES TEUS DE M. VARA DE REY	488,020	0	488,020	0.017	FILIPINO
CONCEPCION TEUS VDA. DE M. VARA DE REY	445,650	0	445,650	0.015	FILIPINO
PAN MALAYAN MGMT. & INVESTMENT CORP. MA. DEL PINO RUIZ	337,559	0	337,559	0.012	FILIPINO
	336,700	0	336,700	0.012	FILIPINO
HOSPITAL DE SAN JUAN DE DIOS, INC.	327,280	0	327,280	0.011	FILIPINO
INSULAR LIFE ASSURANCE CO., LTD.	295,191	0	295,191	0.010	FILIPINO
INIGO R. DE ELIZALDE	266,510	0	266,510	0.009	FILIPINO
WARD MANAGEMENT CORP.	213,250	0	213,250	0.007	FILIPINO
PETER KAW SEK	187,870	0	187,870	0.006	FILIPINO
PILAR ZUASTI VDA DE BELZUNCE	149,880	0	149,880	0.005	FILIPINO
MANUEL NAVASCUES Y NAVASCUE	148,940	0	148,940	0.005	SPANISH
ALFREDO TANUNLIONG	135,120	0	135,120	0.005	FILIPINO
WONG SOTSEN	134,740	0	134,740	0.005	FILIPINO
CARLOS JALANDONI	128,360	0	128,360	0.004	FILIPINO

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ALLEN CHAM	115,200	0	115,200	0.004	FILIPINO
RAQUEL TOUZET AZPILICUETA	107,530	0	107,530	0.004	FILIPINO
TERESITA C. VELEZ	107,444	0	107,444	0.004	FILIPINO
MARIA ROSA MORA AUDET	105,240	0	105,240	0.004	FILIPINO
ELVIRA BALENZATEGUI VDA. DE LOPEZ	103,340	0	103,340	0.004	SPANISH
UNITED INS. CO., INC.	95,060	0	95,060	0.003	FILIPINO
RAMON SILVERIO	94,480	0	94,480	0.003	FILIPINO
BALBINA RIBAS TORRENTS	92,590	0	92,590	0.003	SPANISH
REGINA T. ROXAS	91,378	0	91,378	0.003	FILIPINO
JOSE A. MANZANO JR.	90,979	0	90,979	0.003	FILIPINO
GILBERT LIU	89,652	0	89,652	0.003	FILIPINO
MARIA ROLINDA M. HODGKINSON	86,801	0	86,801	0.003	FILIPINO
LOURDES C. VELEZ	86,380	0	86,380	0.003	FILIPINO
L.L.P. ENTERPRISES INC.	86,320	0	86,320	0.003	FILIPINO
MARY WALLER LANGHORNE	77,220	0	77,220	0.003	AMERICAN
GO CHI SING	76,610	0	76,610	0.003	FILIPINO
JOSE MA. JAVELLANA	73,160	0	73,160	0.003	FILIPINO
JOSE R. DE ITOIZ AND/OR JULIETA	71,010	0	71,010	0.002	FILIPINO
EMILIO PANTOJA	69,412	0	69,412	0.002	FILIPINO
ARMANDO M. MEDINA	68,136	0	68,136	0.002	FILIPINO
ALL ASIA CAPITAL & TRUST CORPORATION	65,667	0	65,667	0.002	FILIPINO
PHIL. REMNANTS CO., INC.	64,780	0	64,780	0.002	FILIPINO
ARLO SEC., INC.	63,710	0	63,710	0.002	FILIPINO
FILINVEST CAPITAL, INC.	61,143	0	61,143	0.002	FILIPINO
RAMON A. PICORNELL JR.	59,170	0	59,170	0.002	FILIPINO
CELEDONIO SANTAMARIA	59,050	0	59,050	0.002	FILIPINO
GEM BUSINESS FACTORS CORP.	58,600	0	58,600	0.002	FILIPINO
MAGDALENA L. LEDESMA	58,020	0	58,020	0.002	FILIPINO
JOHN BENSON	57,610	0	57,610	0.002	FILIPINO

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JOAQUIN M. ELIZALDE (ESTATE OF)	57,180	0	57,180	0.002	FILIPINO
MAURO TAN	56,690	0	56,690	0.002	FILIPINO
ADELAIDA P. BARCELO	56,280	0	56,280	0.002	FILIPINO
LEONARDO T. SIGUION REYNA	53,791	0	53,791	0.002	FILIPINO
MELISSA ABOITIZ ELIZALDE &/OR LUIS JACOBO ELIZALDE	52,624	0	52,624	0.002	FILIPINO
POMPEYO H. LOPEZ	50,790	0	50,790	0.002	FILIPINO
CEBU OXYGEN ACETYLENE CO., INC.	50,120	0	50,120	0.002	FILIPINO
RAMIREZ VDA DE CORTA ESPERANZA	49,600	0	49,600	0.002	FILIPINO
GANET MANAGEMENT CORP.	49,440	0	49,440	0.002	FILIPINO
CECILIA M. JALANDONI	49,130	0	49,130	0.002	FILIPINO
NICOLAS L. LEDESMA	48,730	0	48,730	0.002	FILIPINO
JOSE LUIS DE ESCUBI	47,890	0	47,890	0.002	FILIPINO
MARY RUTH ELIZALDE	47,320	0	47,320	0.002	FILIPINO
MARIANO TIONG	47,230	0	47,230	0.002	FILIPINO
AMALIA CORREA VEGLISON	47,130	0	47,130	0.002	SPANISH
PHILIPPINE PROVINCE OF THE RELIGIOUS OF THE ASSUMPTION	46,530	0	46,530	0.002	FILIPINO
ANGELA A. CELIS	46,510	0	46,510	0.002	FILIPINO
URBANCORP INVESTMENT, INC.	45,681	0	45,681	0.002	FILIPINO
EDUARDO L. LEDESMA	44,580	0	44,580	0.002	FILIPINO
SENG COMPANY CHUA	43,730	0	43,730	0.002	FILIPINO
RODRIGO R. GONZALEZ	43,730	0	43,730	0.002	FILIPINO
WILLIAM HIO GO TO	43,730	0	43,730	0.002	FILIPINO
CHUA SITTAT	43,730	0	43,730	0.002	FILIPINO
JESUS JALBUENA	43,470	0	43,470	0.001	FILIPINO
RAMIRO LIM, JR.	43,460	0	43,460	0.001	FILIPINO
RAUL S. ROCO	43,033	0	43,033	0.001	FILIPINO
LUCIANO TAN	41,640	0	41,640	0.001	FILIPINO

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CHARLES PARSONS	39,680	0	39,680	0.001	FILIPINO
BMI HOLDINGS CORPORATION	38,192	0	38,192	0.001	FILIPINO
CARMELO DE LEIZAOLA ESCUBI	38,120	0	38,120	0.001	FILIPINO
REMEDIOS J. DE LOPEZ VITO	38,100	0	38,100	0.001	FILIPINO
DEOGRACIAS, DELA PAZ, JR.	37,790	0	37,790	0.001	FILIPINO
ASUNSCION S. MONTINOLA &/OR TRINO MONTINOLA III	37,780	0	37,780	0.001	FILIPINO
PRISCIO M. CARAIG	37,639	0	37,639	0.001	FILIPINO
JORGE C. BESSIERES	37,210	0	37,210	0.001	FILIPINO
LUIS L. LEDESMA	37,150	0	37,150	0.001	FILIPINO
JOAQUIN G. TEVES	36,000	0	36,000	0.001	FILIPINO
FILINVEST CAPITAL, INC.	35,861	0	35,861	0.001	FILIPINO
MA. CELINA L. LEDESMA	35,700	0	35,700	0.001	FILIPINO
GEORGE J.L. DE LEON	35,680	0	35,680	0.001	FILIPINO
J.L. DE LEON INVESTMENTS, INC.	35,680	0	35,680	0.001	FILIPINO
V.L. INVESTMENT & DEVT., INC.	35,680	0	35,680	0.001	FILIPINO
MA. DOLORES MARTINEZ VARA DE REY Y TEU	35,520	0	35,520	0.001	SPANISH
MA. TERESA MARTINEZ- VARA DE REY Y TEU	35,520	0	35,520	0.001	SPANISH
ALBERTO JALBUENA	34,850	0	34,850	0.001	FILIPINO
MIGUEL V. GONZALEZ	34,420	0	34,420	0.001	FILIPINO
CARMEN SILVELA Y CASTELLO	33,660	0	33,660	0.001	SPANISH
GRAND TOTAL	2,903,453,162	0	2,903,453,162		

RBKPS104



Philippine Depository & Trust Corp.

PCDUSER1

TOP 100 PDTC PARTICIPANTS

Business Date 12/29/2016

Security ID: RCI000000000		Security Name: ROXAS AND COMPANY, INC.		
Transfer Agent: EQBKTA00000		Transfer Agent Name: BANCO DE ORO UNIBANK, INC. TRANSFER AGENT		
S. No.	BPID	BPNAME	HOLDING	%HOLDING
1	22000000000	MAYBANK ATR KIM ENG SECURITIES, INC.	1,130,706,853.00	80.8685410
2	HSBC1000000	THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	250,658,217.00	17.9271614
3	27900000000	BDO SECURITIES CORPORATION	7,582,278.00	0.5422871
4	21700000000	RCBC SECURITIES, INC.	2,654,178.00	0.1898277
5	19200000000	STRATEGIC EQUITIES CORP.	1,448,148.00	0.1035720
6	11900000000	ASTRA SECURITIES CORPORATION	890,242.00	0.0636704
7	RCBC1000100	RCBC - TRUST GROUP	594,332.00	0.0425068
8	13300000000	CITISECURITIES, INC.	418,123.00	0.0299043
9	28800000000	G.D. TAN & COMPANY, INC.	280,600.00	0.0200686
10	20300000000	COL Financial Group, Inc.	270,125.00	0.0193194
11	21900000000	PAPA SECURITIES CORPORATION	219,825.00	0.0157220
12	10200000000	ABACUS SECURITIES CORPORATION	213,185.00	0.0152471
13	14000000000	IGC SECURITIES INC.	156,372.00	0.0111838
14	26100000003	E.SECURITIES, INC. ITF VARIOUS CLIENTS	152,270.00	0.0108904
15	12600000000	BPI SECURITIES CORPORATION	143,246.00	0.0102450
16	10300000000	PHILSTOCKS FINANCIAL INC	118,282.00	0.0084596
17	21000000000	MOUNT PEAK SECURITIES, INC.	110,179.00	0.0078800
18	11500000000	SB EQUITIES, INC.	98,857.00	0.0070703
19	16200000000	F. YAP SECURITIES, INC.	81,435.00	0.0058243
20	26700000000	FIRST METRO SECURITIES BROKERAGE CORP.	72,338.00	0.0051736
21	19700000000	LOPEZ, LOCSIN, LEDESMA & CO., INC.	65,965.00	0.0047178
22	15700000000	FIRST ORIENT SECURITIES, INC.	63,912.00	0.0045710
23	14700000000	E. CHUA CHIACO SECURITIES, INC.	60,624.00	0.0043358
24	27500000000	YAO & ZIALCITA, INC.	60,000.00	0.0042912
25	12500000000	JAKA SECURITIES CORP.	59,653.00	0.0042664
26	12400000000	B. H. CHUA SECURITIES CORPORATION	58,183.00	0.0041613
27	15000000000	EASTERN SECURITIES DEVELOPMENT CORPORATION	56,897.00	0.0040693
28	16800000000	GLOBALINKS SECURITIES & STOCKS, INC.	55,009.00	0.0039343

Security ID: RCI00000000		Security Name: ROXAS AND COMPANY, INC.		
Transfer Agent: EQBKTA00000		Transfer Agent Name: BANCO DE ORO UNIBANK, INC. TRANSFER AGENT		
S. No.	BPID	BPNAME	HOLDING	%HOLDING
29	22400000000	PNB SECURITIES, INC.	52,916.00	0.0037846
30	25100000000	TANSENGCO & CO., INC.	50,303.00	0.0035977
31	DEUB2000007	DEUTSCHE BANK AG MANILA BRANCH A/C CLIENTS DEUB20	50,000.00	0.0035760
32	11000000000	AP SECURITIES INCORPORATED	49,813.00	0.0035626
33	22500000000	PREMIUM SECURITIES, INC.	46,688.00	0.0033391
34	23100000000	R & L INVESTMENTS, INC.	44,253.00	0.0031650
35	12200000000	BELSON SECURITIES, INC.	36,657.00	0.0026217
36	28600000000	SOLAR SECURITIES, INC.	35,442.00	0.0025348
37	27000000000	WESTLINK GLOBAL EQUITIES, INC.	35,414.00	0.0025328
38	11200000000	AB CAPITAL SECURITIES, INC.	33,269.00	0.0023794
39	CITI1000000	CITIBANK N.A.	32,274.00	0.0023082
40	18100000000	INVESTORS SECURITIES, INC.	31,359.00	0.0022428
41	20000000000	MANDARIN SECURITIES CORPORATION	30,353.00	0.0021709
42	26900000000	WEALTH SECURITIES, INC.	29,334.00	0.0020980
43	23000000000	QUALITY INVESTMENTS & SECURITIES CORPORATION	25,000.00	0.0017880
44	23500000000	REGINA CAPITAL DEVELOPMENT CORPORATION	23,023.00	0.0016466
45	18200000000	IMPERIAL,DE GUZMAN,ABALOS & CO.,INC.	20,193.00	0.0014442
46	23300000000	R. COYIUTO SECURITIES, INC.	19,215.00	0.0013743
47	17400000000	HDI SECURITIES, INC.	15,063.00	0.0010773
48	34500000000	UNICAPITAL SECURITIES INC.	13,388.00	0.0009575
49	11600000000	ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	12,680.00	0.0009069
50	24600000000	SUMMIT SECURITIES, INC.	11,585.00	0.0008286
51	12800000000	CAMPOS, LANUZA & COMPANY, INC.	9,723.00	0.0006954
52	27800000000	YU & COMPANY, INC.	9,692.00	0.0006932
53	13600000000	TRITON SECURITIES CORP.	8,527.00	0.0006099
54	20900000000	DEUTSCHE REGIS PARTNERS, INC.	7,172.00	0.0005129
55	14800000000	EQUITABLE SECURITIES (PHILS.) INC.	7,032.00	0.0005029
56	11100000000	ANSALDO, GODINEZ & CO., INC.	6,893.00	0.0004930
57	25300000000	TOWER SECURITIES, INC.	6,635.00	0.0004745
58	15300000000	EQUITIWORLD SECURITIES, INC.	6,096.00	0.0004360
59	26600000000	VICAL SECURITIES & STOCK BROKERAGE, INC.	5,558.00	0.0003975
60	23900000000	RTG & COMPANY, INC.	5,371.00	0.0003841
61	20500000000	MERCANTILE SECURITIES CORP.	5,358.00	0.0003832
62	17000000000	GOLDSTAR SECURITIES, INC.	5,076.00	0.0003630
63	13100000048	BDO NOMURA SECURITIES INC FAO PCIB SECURITIES CLIENTS	4,928.00	0.0003525
64	16900000000	JSG SECURITIES, INC.	4,124.00	0.0002949
65	10900000000	BA SECURITIES, INC.	3,881.00	0.0002776
66	10600000000	ALPHA SECURITIES CORP.	3,819.00	0.0002731
67	21500000000	OPTIMUM SECURITIES CORPORATION	3,796.00	0.0002715
68	10100000000	A & A SECURITIES, INC.	3,586.00	0.0002565

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69	28200000000	PCCI SECURITIES BROKERS CORP.	3,290.00	0.0002353
70	17200000000	GUILD SECURITIES, INC.	3,053.00	0.0002184
71	25900000000	UCPB SECURITIES, INC.	2,793.00	0.0001998
72	24700000000	STANDARD SECURITIES CORPORATION	2,690.00	0.0001924
73	15400000000	EVERGREEN STOCK BROKERAGE & SEC., INC.	2,620.00	0.0001874
74	12300000000	BENJAMIN CO CA & CO., INC.	2,470.00	0.0001767
75	18300000000	INTRA-INVEST SECURITIES, INC.	2,435.00	0.0001742
76	10400000000	A. T. DE CASTRO SECURITIES CORP.	2,230.00	0.0001595
77	14500000000	DIVERSIFIED SECURITIES, INC.	1,876.00	0.0001342
78	RCBC3000000	RCBC TRUST & INVESTMENT DIVISION - VARIOUS TAXABLE ACCTS	1,841.00	0.0001317
79	18000000000	I. B. GIMENEZ SECURITIES, INC.	1,823.00	0.0001304
80	23800000000	R. S. LIM & CO., INC.	1,794.00	0.0001283
81	21100000000	NEW WORLD SECURITIES CO., INC.	1,793.00	0.0001282
82	26100000004	E.SECURITIES, INC. ITF VARIOUS CLIENTS	1,517.00	0.0001085
83	28500000000	GOLDEN TOWER SECURITIES & HOLDINGS, INC.	1,462.00	0.0001046
84	RCBC1000000	RCBC TRUST & INVESTMENT DIVISION	1,340.00	0.0000958
85	22900000000	SALISBURY BKT SECURITIES CORPORATION	1,255.00	0.0000898
86	26300000000	VENTURE SECURITIES, INC.	1,002.00	0.0000717
87	13800000000	PHILEO ALLIED SECURITIES (PHILIPPINES), INC.	998.00	0.0000714
88	19000000000	VALUE QUEST SECURITIES CORPORATION	897.00	0.0000642
89	25600000000	TRENDLINE SECURITIES CORPORATION	884.00	0.0000632
90	22800000000	PRYCE SECURITIES, INC.	880.00	0.0000629
91	2200000PH25	MAYBANK ATR KIM ENG SECURITIES INC	772.00	0.0000552
92	14300000000	DAVID GO SECURITIES CORP.	742.00	0.0000531
93	19800000000	LUCKY SECURITIES, INC.	608.00	0.0000435
94	17900000000	I. ACKERMAN & CO., INC.	570.00	0.0000408
95	14900000000	EAST WEST CAPITAL CORPORATION	538.00	0.0000385
96	18700000000	ASIAN CAPITAL EQUITIES, INC.	414.00	0.0000296
97	25700000000	TRI-STATE SECURITIES, INC.	379.00	0.0000271
98	13100000000	BDO NOMURA SECURITIES INC	366.00	0.0000262
99	21300000000	NIEVES SECURITIES, INC.	359.00	0.0000257
100	17500000000	H. E. BENNETT SECURITIES, INC.	359.00	0.0000257
101	32800000000	DW CAPITAL INC.	359.00	0.0000257
102	20800000000	MDR SECURITIES, INC.	358.00	0.0000256
103	24200000000	SECURITIES SPECIALISTS, INC.	300.00	0.0000215
104	14200000000	DBP-DAIWA CAPITAL MARKETS PHILPPINES, INC.	207.00	0.0000148
105	RCBC1000021	RCBC TRUST & INVESTMENT DIVISION	180.00	0.0000129
106	11800000000	ASIASEC EQUITIES, INC.	179.00	0.0000128
107	22300000000	PLATINUM SECURITIES, INC.	179.00	0.0000128
108	28300000000	EAGLE EQUITIES, INC.	179.00	0.0000128

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S. No.	BPID	BPNAME	HOLDING	%HOLDING
109	38900000000	KING'S POWER SECURITIES, INC.	179.00	0.0000128
110	20200000000	MARINO OLONDRIZ Y CIA	124.00	0.0000089
111	11700000000	SIAMERIT SECURITIES, INC.	122.00	0.0000087
112	25200000000	THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	99.00	0.0000071
113	19300000000	LARRGO SECURITIES CO., INC.	88.00	0.0000063
114	17800000000	HK SECURITIES, INC.	80.00	0.0000057
115	12000000000	ATC SECURITIES, INC.	50.00	0.0000036
116	PMAN1000000	MAPFRE INSULAR INSURANCE CORPORATION-NON LIFE	40.00	0.0000029
117	25500000000	APEX PHILIPPINES EQUITIES CORPORATION	10.00	0.0000007
118	HSBC3000000	THE HONGKONG & SHANGHAI BANKING CORP. LTD. -OWN ACCOUNT	2.00	0.0000001