



108222013002198



SECURITIES AND EXCHANGE COMMISSION

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. PW00000834

Company Name ROXAS AND COMPANY, INC.

Industry Classification

Company Type Stock Corporation

Document Information

Document ID 108222013002198

Document Type GENERAL INFORMATION SHEET

Document Code GIS

Period Covered February 27, 2013

No. of Days Late 0

Department CED/CFD/CRMD/MRD

Remarks Amended
w/ letter

P W 0 0 0 0 0 8 3 4

R O X A S A N D C O M P A N Y , I N C .
(F O R M E R L Y C A D P G R O U P
C O R P O R A T I O N)

(Company's Full Name)

7 T H F L O O R C G B U I L D I N G
1 0 1 A G U I R R E S T R E E T L E G A S P I
V I L L A G E M A K A T I C I T Y

(Business Address: No. of Street City/Town/Province)

ATTY. ALEZANDRO S. CASABAR

810-8901

September 30
Month Day
Fiscal Year

General Information Sheet (2013 Amended)

Form Type

Month Day
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

3,476

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes



Roxas & Company, Inc.

16 August 2013

Securities and Exchange Commission
SEC Building, EDSA, Greenhills
Mandaluyong City

Subject : **ROXAS AND COMPANY, INC.**
Updated General Information Sheet (2013)

Gentlemen:

We are submitting herewith the updated General Information Sheet of Roxas and Company, Inc. in order to update its Secondary License/Registration.

Thank you.

Very truly yours,

ROXAS AND COMPANY, INC.

By:

ALEZANDRO S. CASABAR
Asst. Corporate Secretary

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2013**

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FIVE (5) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC. (formerly CADP GROUP CORPORATION)		DATE REGISTERED: 10/7/1918
BUSINESS/TRADE NAME:		FISCAL YEAR END: 30 SEPTEMBER
SEC REGISTRATION NUMBER: 834		
DATE OF ANNUAL MEETING PER BY-LAWS: 4th Wednesday of February		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 000-269-435-000
ACTUAL DATE OF ANNUAL MEETING: 27 February 2013		WEBSITE/URL ADDRESS: www.roxascompany.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 7F CACHO-GONZALES BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY		E-MAIL ADDRESS:
COMPLETE BUSINESS ADDRESS:		FAX NUMBER: 750-2169
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: REYES TACANDONG & CO. / Mr. PROTACIO T. TACANDONG		SEC ACCREDITATION NUMBER (if applicable): 0078-AR-1
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: HOLDING AND INVESTMENT COMPANY		TELEPHONE NUMBER(S): 8108901 to 06
INDUSTRY CLASSIFICATION: NONE		GEOGRAPHICAL CODE: NONE

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
PLEASE SEE ATTACHED SHEET		

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	COMMON	3,375,000,000	1.00	3,375,000,000.00
	-----	-----	-----	-----
	-----	-----	-----	-----
TOTAL		3,375,000,000	TOTAL P	3,375,000,000.00

SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	2,116,763,247	287,901,528	1.00	2,116,763,247.00	72.69%
VARIOUS	-----	-----	-----	-----	-----	-----	-----
		-----	-----	-----	-----	-----	-----
TOTAL			2,116,763,247			2,116,763,247.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS	-----	COMMON	795,122,623	537,542,008	1.00	795,122,623.00	27.31%
		-----	-----	-----	-----	-----	-----
		-----	-----	-----	-----	-----	-----
Percentage of Foreign Equity : 27.31%			TOTAL	795,122,623		795,122,623.00	100.00%
TOTAL SUBSCRIBED P						2,911,885,870.00	

PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		COMMON	2,116,763,247	1.00	2,116,763,247.00	72.69%
VARIOUS	-----	-----	-----	-----	-----	-----
		-----	-----	-----	-----	-----
TOTAL			2,116,763,247	TOTAL P	2,116,763,247.00	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
VARIOUS	-----	COMMON	795,122,623	1.00	795,122,623.00	27.31%
		-----	-----	-----	-----	-----
		-----	-----	-----	-----	-----
TOTAL			795,122,623	TOTAL P	795,122,623.00	

TOTAL PAID-UP P				2,911,885,870.00
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NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.

DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
1. PEDRO E. ROXAS/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	C	Y	Chairman / Pres. & CEO	C/N	104-738-165
2. ANTONIO J. ROXAS/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	Y	N/A	NA	104-738-157
3. BEATRIZ OLGADO ROXAS/SPANISH 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	Y	N/A	NA	218-112-191
4. CARLOS ANTONIO R. ELIZALDE/FILIPINO 1701 THE PEAK 107 LEVISTE ST. SALCEDO VILL. MAKATI	N	M	Y	N/A	N	119-814-785
5. FRANCISCO JOSE R. ELIZALDE/FILIPINO 1701 THE PEAK 107 LEVISTE ST. SALCEDO VILL. MAKATI	N	M	Y	N/A	A	119-132-731
6. EDUARDO R. AREILZA/SPANISH 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	M	Y	N/A	A	Passport No. BA979424 Spain/Valid until 01/03/2017
7. GUILLERMO D. LUCHANGCO/FILIPINO 1148 TAMARIND ROAD, DASMARINAS VILLAGE, MAKATI CITY	N	I	Y	N/A	C/N	106-185-062
8. CORAZON S. DE LA PAZ-BERNARDO 17A MCKINLEY ROAD, FORBES PARK, MAKATI CITY	N	I	Y	N/A	A/C	112-070-586
9. RENATO C. VALENCIA/FILIPINO UNIT 610 PSE, PLAZA TOWER ONE, AYALA TRIANGLE, AYALA AVE., MAKATI CITY	N	M	Y	N/A	NA	118-457-420
10. PETER D. BAROT/FILIPINO 19/F LIBERTY CENTER, 104 H.V. DELA COSTA ST. SALCEDO VILLAGE, MAKATI CITY	N	N/A	N	CORP. SEC.	NA	107-478-120
11. ARMANDO B. ESCOBAR/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	N	VP & CFO Treasurer Risk Management Officer	NA	109-203-427
12. ALEZANDRO S. CASABAR/FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	N	N/A	N	Assistant Corp. Sec/ Compliance Officer	NA	224-451-024

INSTRUCTIONS:

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.

TOTAL NUMBER OF STOCKHOLDERS: 3,487 **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:**

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: P19,701,221,000.00 (As of 30 September 2012)

STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
1. ANTONIO J. ROXAS FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	643,945,909	643,945,909.00	22.11%	643,945,909.00	104-738-157
	TOTAL	643,945,909	643,945,909.00			
2. SPCI HOLDINGS, INC. FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	642,779,593	642,779,593.00	22.07%	642,779,593.00	212-605-396-000
	TOTAL	642,779,593	642,779,593.00			
3. PESAN HOLDINGS, INC. FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL. MAKATI	C	340,527,520	340,527,520.00	11.69%	340,527,520.00	221-188-467
	TOTAL	340,527,520	340,527,520.00			
4. HSBC OBO Manila British National 7F HSBC Centre, 3058 Fifth Ave. West, Bonifacio Global City	C	273,124,090	273,124,090.00	9.38%	273,124,090.00	000-504-444-000
	TOTAL	273,124,090	273,124,090.00			
5. PILAR O. ROXAS FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	262,706,512	262,706,512.00	9.02%	262,706,512.00	218-113-982
		262,706,512	262,706,512.00			
6. MARTA ROXAS OLGADO SPANISH 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	258,180,365	258,180,365.00	8.87%	258,180,365.00	218-112-166
	TOTAL	258,180,365	258,180,365.00			
7. BEATRIZ ROXAS OLGADO SPANISH 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	257,579,335	257,579,335.00	8.87%	257,579,335.00	218-112-191
	TOTAL	257,579,335	257,579,335.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL						
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,487			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			
TOTAL ASSETS BASED ON LATEST AUDITED FS: P19,701,221.00 (As of 30 September 2012)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
8. PCD NOMINEE CORPORATION FILIPINO 37F TOWER 1 ENTERPRISE CENTER AYALA AVENUE MAKATI CITY	C	201,799,394	201,799,394.00	6.93%	201,799,394.00	004-774-849-000
	TOTAL	201,799,394	201,799,394.00			
9. RIZAL COMMERCIAL BANKING CORP. FILIPINO 333 SEN. GIL PUYAT AVE. MAKATI CITY	C	3,048,161	3,048,161.00	0.10%	3,048,161.00	049-000-599-760
	TOTAL	3,048,161	3,048,161.00			
10. ANTONIO ROXAS CHUA FILIPINO 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	C	2,379,610	2,379,610.00	0.08%	2,379,610.00	DECEASED
	TOTAL	2,379,610	2,379,610.00			
11. MARI CARMEN ROXAS DE ELIZALDE FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI	C	1,361,241	1,361,241.00	0.05%	1,361,241.00	134-210-848
	TOTAL	1,361,241	1,361,241.00			
12. SANTIAGO R. ELIZALDE FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI	C	1,210,930	1,210,930.00	0.04%	1,210,930.00	100-349-993
	TOTAL					
13. FRANCISCO JOSE R. ELIZALDE FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	1,203,013	1,203,013.00	0.04%	1,203,013.00	119-132-731
	TOTAL	1,203,013	1,203,013.00			
14. CARLOS R. ELIZALDE FILIPINO 1701 THE PEAK, 107 LEVISTE ST., SALCEDO VILLAGE, MAKATI CITY	C	1,200,320	1,200,320.00	0.04%	1,200,320.00	119-814-785
	TOTAL	1,200,320	1,200,320.00			
15. CENTRAL AZUCARERA DELA CARLOTA RETIREMENT TRUST FUND PHILIPPINE NATIONAL 6F CG BLDG., 101 AGUIRRE ST., LEGASPI VILLAGE, MAKATI CITY	C	1,178,400	1,178,400.00	0.04%	1,178,400.00	246-316-829-000
	TOTAL					
TOTAL AMOUNT OF PAID-UP CAPITAL						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: ROXAS AND COMPANY, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 3,487			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:			
TOTAL ASSETS BASED ON LATEST AUDITED FS: P19,701,221.00 (As of 30 September 2012)						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP		
16. PEDRO E. ROXAS FILIPINO 7F CG BLDG., 101 AGUIRRE ST., LEGASPI VILL., MAKATI CITY	C	937,892	937,892.00	0.03%	937,892.00	104-738-165
	TOTAL	937,892	937,892.00			
17. EQUITABLE SECURITIES FAO IÑIGO ELIZALDE FILIPINO PHILIPPINE NATIONAL 6F LEGASPI TOWERS 200 PASEO DE ROXAS MAKATI CITY	C	933,810	933,810.00	0.03%	933,810.00	246-316-829-000
	TOTAL	933,810	933,810.00			
18. SEVERO A. TUASON & COMPANY, INC. FILIPINO NOT ON FILE	C	537,000	537,000.00	0.02%	537,000.00	NOT ON FILE
	TOTAL	537,000	537,000.00			
19. DOLORES TEUS DE M. VARA DE REY FILIPINO NOT ON FILE	C	488,020	488,020.00	0.02%	488,020.00	NOT ON FILE
	TOTAL	488,020	488,020.00			
20. CONCEPCION TEUS VDA. DE M. VARA DE REY FILIPINO NOT ON FILE	C	445,650	445,650.00	0.02%	445,650.00	NOT ON FILE
	TOTAL	445,650	445,650.00			
21. OTHERS	C	16,319,105	16,319,105.00	0.56%	16,319,105.00	
	TOTAL	16,319,105	16,319,105.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			2,911,885,870.00			
TOTAL AMOUNT OF PAID-UP CAPITAL					2,911,885,870.00	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: ROXAS AND COMPANY, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		NA	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: P1,090,115.00			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH			
5.2 CASH			
5.3 PROPERTY			
TOTAL	0.00		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Sell Securities		
DATE ISSUED:	3/16/1994		
DATE STARTED OPERATIONS:	10/17/1918		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	P660,000.00	TOTAL NO. OF OFFICERS	4
		TOTAL NO. OF RANK & FILE EMPLOYEES	3
		TOTAL MANPOWER COMPLEMENT	5

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, ALEZANDRO S. CASABAR, Assistant Corporate Secretary OF THE ABOVE-MENTIONED
(NAME) (POSITION)

CORPORATION DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF (8) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

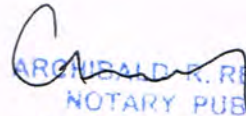
I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATIONS CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 16th DAY OF AUGUST, 2013 IN MAKATI CITY.


(SIGNATURE)

AUG 22 2013

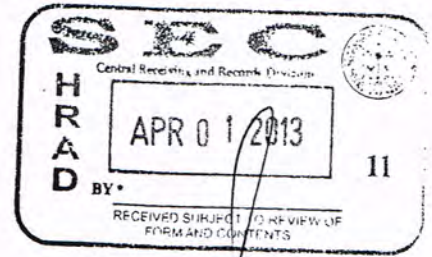
SUBSCRIBED AND SWORN TO BEFORE ME IN MAKATI CITY/PROVINCE, PHILIPPINES ON 2013, AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS PHIL. DRIVERS LICENSE LTO NO. N03-98-0250674 ISSUED ON FEBRUARY 14, 2013 EXPIRES ON DECEMBER 12, 2015.


ARCHIBALD R. RELLOSA
NOTARY PUBLIC
UNTIL DEC. 31, 2013
PTR NO. 3674405, MAKATI CITY
IBP NO. 867717 PASIG CITY
APPOINTMENT NO. M-245 (2012-2013)
MCLE EXEMPTION NO. 1-003083
ROLL NO. 4375

DOC. NO: 37-1
PAGE NO. 80 :
BOOK NO. 1 :
SERIES OF 2013 :

REPUBLIC OF THE PHILIPPINES)
MAKATI CITY) S.S.

UNDERTAKING



I, **ALEZANDRO S. CASABAR**, Filipino, of legal age, and with office address at 7F Cacho-Gonzales Building, 101 Aguirre Street, Legaspi Village, 1229 Makati City, after having been duly sworn to in accordance with law, hereby certify as follows:

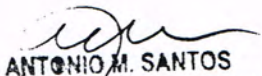
1. I am the Assistant Corporate Secretary and Compliance Officer of **ROXAS AND COMPANY, INC.** (the "Corporation"), a corporation duly organized and existing under the laws of the Philippines, with principal office located at 7th Floor, Cacho-Gonzales Building, 101 Aguirre Street, Legaspi Village, 1229 Makati City [the "Corporation"].
2. As the Assistant Corporate Secretary, I have custody of and access to the corporate records of the Corporation.
3. Part of my duties and responsibilities as Assistant Corporate Secretary is the preparation and filing of the Corporation's General Information Sheet ("GIS") which is submitted to the Securities and Exchange Commission ("SEC").
4. One of the information that is required to be stated in the GIS is the Tax Identification Numbers ("TIN") of the Corporation's Top 20 stockholders.
5. As the corporation is a publicly-listed company and its shares are regularly traded in the Philippine Stock Exchange ("PSE"), the undersigned does not have personal knowledge and information about or record of the TIN of all entities and individuals who trade the Corporation's shares in the PSE.
6. Hence, the GIS for the year 2013 does not contain the TIN of some of the Top 20 Stockholders of the Corporation as said information could not be found in the stockholders' records.
7. In any event, the undersigned undertakes to exert reasonable effort to determine the TIN of the stockholders whose TIN are not stated in the GIS for 2013 and to file with the SEC an amended GIS as soon as the TIN of said stockholders are determined.

Witness my hand on 26th March 2013 in Makati City.

ALEZANDRO S. CASABAR
Assistant Corporate Secretary

SUBSCRIBED AND SWORN TO before me this MAR 27 2013 2013 in Makati City, Metro Manila, affiant Alejandro S. Casabar exhibiting to me his LTO No. N03-98-0250674 issued on 14 February 2012 and expiring on 12 December 2015.

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Page No. 34;
Book No. ✓;
Series of 2013.


ANTONIO M. SANTOS
Notary Public Until Dec. 31, 2013
Roll No. 2540 / Lifetime
PTR No. 3287797 / Makati City, Jan. 2, 2013
ISP Lifetime Membership No. 36917
MCLE Compliance No. 111-0016877
845 Pasay Road Makati City